



H-1

#4 03CD

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENTS**

**Applicant:** Michael J. Rojas

**Group Art Unit:**

**Serial No:** 09/808,436

**Docket:** 13463

**Filed:** March 14, 2000

**Dated:** May 01, 2001

**For:** METHOD AND PROGRAMMABLE  
DEVICE FOR TELECOMMUNICATIONS  
APPLICATIONS

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent No. 0 467 569 A1, dated January 22, 1992 issued to Harper, et al.;
2. European Patent No. 0 478 175 A1, dated January 4, 1992 issued to l'Anson, et al.;
3. U.S. Patent 4,788,721, dated November 29, 1988, issued to Krishnan, et al.;
4. U.S. Patent 5,042,027, dated August 20, 1991, issued to Takase, et al.;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 01, 2001.

Dated: May 01, 2001

Janet Grossman  
Janet Grossman

5. U.S. Patent 5,101,402, dated March 31, 1992, issued to Chiu, et al.;
6. U.S. Patent 5,231,593, dated July 27, 1993, issued to Notess;
7. U.S. Patent 5,406,555, dated April 11, 1995, issued to Yoshida;
8. U.S. Patent 5,430,709, dated July 4, 1995, issued to Galloway;
9. U.S. Patent 5,699,528, dated December 16, 1997, issued to Hogan;
10. U.S. Patent 5,745,556, dated April 28, 1998, issued to Ronen;
11. U.S. Patent 5,768,521, dated June 16, 1998, issued to Dedrick;
12. U.S. Patent 5,778,182, dated July 7, 1998, issued to Cathey, et al.;
13. U.S. Patent 5,845,267, dated December 1, 1998, issued to Ronen;
14. U.S. Patent 5,864,610, dated January 26, 1999, issued to Ronen;
15. U.S. Patent 5,867,495, dated February 2, 1999, issued to Elliott, et al.;
16. U.S. Patent 5,878,128, dated March 2, 1999, issued to Kantola;
17. U.S. Patent 5,898,780, dated April 27, 1999, issued to Liu, et al.;
18. U.S. Patent 5,901,228, dated May 4, 1999, issued to Crawford;
19. U.S. Patent 5,905,736, dated May 18, 1999, issued to Ronen, et al.;
20. U.S. Patent 5,913,040, dated June 15, 1999, issued to Rakavy, et al.;
21. U.S. Patent 5,917,817, dated June 29, 1999, issued to Dunn, et al.;
22. U.S. Patent 5,935,249, dated August 10, 1999, issued to Stern, et al.;
23. U.S. Patent 5,940,504, dated August 17, 1999, issued to Griswold;
24. U.S. Patent 5,940,598, dated August 17, 1999, issued to Strauss, et al.;
25. U.S. Patent 5,940,834, dated August 17, 1999, issued to Pinard, et al.;
26. U.S. Patent 5,956,391, dated September 21, 1999, issued to Melen, et al.;
27. U.S. Patent 5,956,697, dated September 21, 1999, issued to Usui;
28. U.S. Patent 5,958,010, dated September 28, 1999, issued to Agarwal, et al.;
29. U.S. Patent 5,958,016, dated September 28, 1999, issued to Chang, et al.;
30. U.S. Patent 5,959,988, dated September 28, 1999, issued to Bjorkman, et al.;
31. U.S. Patent 5,961,598, dated October 5, 1999, issued to Sime;

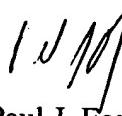
32. U.S. Patent 5,964,839, dated October 12, 1999, issued to Johnson, et al.;
33. U.S. Patent 5,970,477, dated October 19, 1999, issued to Roden;
34. U.S. Patent 5,983,270, dated November 9, 1999, issued to Abraham, et al.;
35. U.S. Patent 5,995,946, dated November 30, 1999, issued to Auzenne, et al.;
36. U.S. Patent 6,023,499, dated February 8, 2000, issued to Mansey, et al.

Reference numbers 5, 8, 21, 25, 29 and 32 are cited in the present specification.

Applicant is submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
Registration No.: 30,749

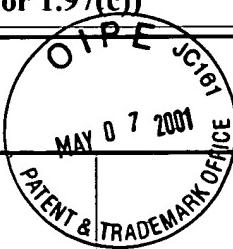
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs/yvg

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13463

In Re Application Of: Michael J. Rojas



Serial No.  
09/808,436

Filing Date  
March 14, 2000

Examiner

Group Art Unit

Title:

**METHOD AND PROGRAMMABLE DEVICE FOR TELECOMMUNICATIONS APPLICATIONS**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

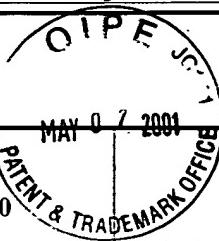
**OR**

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13463

In Re Application Of: Michael J. Rojas



Serial No.  
09/808,436

Filing Date  
March 14, 2000

Examiner

Group Art Unit

Title:

**METHOD AND PROGRAMMABLE DEVICE FOR TELECOMMUNICATIONS APPLICATIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

*Paul J. Esatto, Jr.*  
Signature  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on May 1, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Janet Grossman*  
Signature of Person Mailing Correspondence

Janet Grossman  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Paul J. Esatto, Jr.*  
Signature

Paul J. Esatto, Jr., Reg. No. 30,749  
**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343  
PJE:AHS

Dated: May 1, 2001

CC:

**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

ATTY DOCKET NO.  
**13463**

SERIAL NO.  
**09/808,436**

APPLICANT(S)  
**Michael J. Rojas**

FILING DATE  
**March 14, 2001**

GROUP

O I P E  
 MAY U 7 2001  
 JC181

**U.S. PATENT DOCUMENTS**

PATENT EXAMINER INITIALS & TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,788,721	11/29/88	Krishnan, et al.			
	5,042,027	08/20/91	Takase, et al.			
	5,231,593	7/27/93	Notess			
	5,406,555	04/11/95	Yoshida			
	5,430,709	07/04/95	Galloway			
	5,699,528	12/16/97	Hogan			
	5,745,556	04/28/98	Ronen			
	5,768,521	06/16/98	Dedrick			
	5,778,182	07/07/98	Cathey, et al.			
	5,845,267	12/01/98	Ronen			
	5,101,402	03/31/92	Chiu, et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 467 569 A1	01/22/92	Harper, et al.				
	0 478,175 A1	01/04/92	I'Anson, et al.				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

13463

SERIAL NO.

09/808,436

APPLICANT(S)

Michael J. Rojas

FILING DATE

March 14, 2001

GROUP



## U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,864,610	01/26/99	Ronen			
	5,867,495	02/02/99	Elliot, et al.			
	5,878,128	03/02/99	Kantola			
	5,898,780	04/27/99	Liu, et al.			
	5,901,228	05/04/99	Crawford			
	5,905,736	05/18/99	Ronen			
	5,913,040	06/15/99	Rakavy, et al.			
	5,917,817	06/29/99	Dunn, et al.			
	5,935,249	08/10/99	Stern, et al.			
	5,940,504	08/17/99	Griswold			
	5,940,598	08/17/99	Strauss, et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

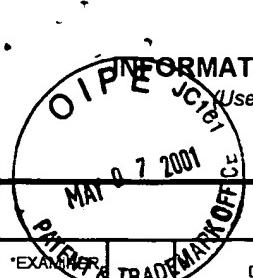
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 13463	SERIAL NO. 09/808,436			
				APPLICANT(S) Michael J. Rojas				
				FILING DATE March 14, 2001	GROUP			
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIALS	TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,940,834	08/17/99	Pinard				
		5,956,391	09/21/99	Melen, et al.				
		5,956,697	09/21/99	Usui				
		5,958,010	09/28/99	Agarwal, et al.				
		5,959,988	09/28/99	Bjorkman				
		5,961,598	10/05/99	Sime				
		5,964,839	10/12/99	Johnson, et al.				
		5,970,477	10/19/99	Roden				
		5,983,270	11/09/99	Abraham, et al.				
		5,995,946	11/30/99	Auzenne, et al.				
		5,958,016	9/28/99	Chang, et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
<i>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</i>								



**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

(Use several sheets if necessary)

**ATTY DOCKET NO.**

13463

SERIAL NO.

09/808,436

**APPLICANT(S)**

ATTORNEY(S)  
**Michael J. Rojas**

**FILING DATE**

March 14, 2001

**GROUP**

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)


**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.